



To: CIMB Investment Bank Berhad ("CIMB IB")\* / CIMB Bank Berhad ("CIMB Bank")\*\*/ CIMB Futures Sdn Bhd ("CIMBF") \*\*\*

Dear Sir / Madam:				
BIG REWARD CAMPAIGN ("Campaign")				
Name (as per NRIC/Passport):				
NRIC/ Passport No.:				
Trading Account No.:				
Mobile Tel No.:				
Email Address:				
Yes, I would like to participate in the BIG to comply with the Terms & Conditions of	Reward Campaign. I have read, understood and agreed the Campaign.			
* For Direct Trading Account, Securitised Trading Acco	ount - Direct and Securitised Trading Account - Nominee, the			
** For Clicks Trader Account, Clicks Trader Plus Accou shall be with CIMB Bank	unt and Share Margin Financing Account, the contracting party			
*** For Futures Trading Account, the contracting party	shall be with CIMBF			
Yours faithfully,	Witnessed by,			
Signature of Participant	Signature of Dealer's Representative / Authorised Officer of CIMB Bank Berhad**			
Date:	Name: Date:			
For Office Use Only				
Checked by:				
Date:				

# CIMB Investment Bank Berhad (18417-M)

<sup>\*\*</sup> Applicable to CIMB Bank Berhad Branch Broking Only





## Terms and Conditions of BIG Reward Campaign ("Terms & Conditions")

#### **Campaign Name**

**BIG Reward Campaign** 

#### **Tagline**

The Reward Just Got Bigger!

#### Campaign period

The BIG Reward Campaign ("**Campaign**") is organised by CIMB IB and will commence on 1<sup>st</sup> April 2017 and ends on 31<sup>st</sup> December 2017, both dates inclusive ("**Campaign Period**").

CIMB IB reserves the right, upon giving adequate prior notice of twenty one (21) calendar days, to change the duration and/or commencement and/or expiry dates of the Campaign Period.

#### Eligibility

- 1. Subject always to the terms and conditions contained herein, the Campaign is open to new and existing clients of CIMB IB, CIMB Bank and/or CIMBF ("collectively known as "CIMB") ("Eligible Participants") who:
  - (a) Trade online and/or offline in the securities listed on Bursa Malaysia Securities Berhad ("BMSB"), Bursa Malaysia Derivatives Berhad ("BMDB") and /or foreign stock exchanges recognised by BMSB; AND
  - (b) Have signed up to receive contract statements via email ("E-Contract Note"); AND
  - (c) Requested for payment of sales proceeds via Auto Trust (i.e. sales proceeds are credited directly into client's trust account maintained with CIMB IB or CIMB Bank, as the case may be) or EDI (i.e. sales proceeds are credited directly into client's personal current or savings account with CIMB Bank Berhad / CIMB Islamic Bank Berhad ("CASA Account")).

For purposes of this Terms and Conditions, "Affiliates" includes a related corporation (as defined in the Companies Act 2016) of CIMB IB or a corporation that directly or indirectly through one or more intermediaries, controls or is controlled by, or is under common control with, CIMB IB.

- 2. The following persons are NOT eligible to participate in the Campaign:
  - a) Institutional, Corporate and Private Banking clients;
  - b) Islamic stockbroking clients including new SPF-i (Share Purchase Financing-i) account opened for IPO/ESOS financing purpose;
  - c) Existing and new accounts (margin/non-margin) opened for IPO and/or ESOS subscriptions;
  - d) Clients who have a share margin financing account with CIMB Bank but do not trade via CIMB IB;
  - e) Individuals below the age of 18 years as of 1<sup>st</sup> April 2017;
  - f) during the subsistence of the Eligible Participant's participation (if any) in the VIP Traders Campaign; and
  - g) Others as may be determined by CIMB.

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Clivid litrestillerit bark bernau (10417-ivi)				
(A Participating Organisation of Bursa Malaysia Securities Berhad)	1.20.1			
17th Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur Malaysia	Initial			
Telephone (603) 2261 8888 Facsimile (603) 2261 8889 www.cimb.com				





### The Campaign

- 3. Should the Eligible Participants have more than one (1) trading account maintained with CIMB (e.g. ST Direct, ST Nominees, Share Margin Financing, Futures Trading, etc), then all the accumulated gross brokerage (as the case may be) generated through such accounts with CIMB will be consolidated and taken into account as one (1) trading account for eligibility for the Reward (defined below).
- 4. Additionally:-
  - (a) Eligible Participants shall, within the Campaign Period, submit the duly completed <u>BIG Reward</u> Campaign Form and other documents, if any, to participate in this Campaign;
  - (b) Participation in the Campaign will take effect on the date the duly completed BIG Reward Campaign Form together with the Terms and Conditions and other documents, if any, are submitted and accepted by the Eligible Participants. In the event of backdating the BIG Reward Campaign Form, CIMB reserves the right to reject the BIG Reward Campaign Form.
- 5. Eligible Participants who have met the Qualifying Criteria below ("Qualified Participants") within the Campaign Period will be rewarded with attractive prizes ("Reward") based on the different tiers as set out below.

Accumulated Gross Brokerage (RM)	Reward					
3,000.00	6 Movie Tickets worth RM102.00					
5,000.00	KFC Dining Voucher worth RM250.00					
7,000.00	Touch & Go card worth RM600.00					
9,000.00	AEON or Parkson Shopping Voucher worth RM800.00					
15,000.00	AEON or Parkson Shopping Voucher worth RM1,300.00					
25,000.00	AEON or Parkson Shopping Voucher worth RM2,000.00					
65,000.00	Agoda Hotel Voucher worth RM5,000.00					
120,000.00	Petrol Card worth RM10,000.00					
180,000.00	1 unit Rolex Standard Steel Datejust (Ladies) worth RM18,000.00					
280,000.00 and above	1 unit Rolex Standard Steel Datejust (Gents) worth RM28,000.00					

6. For avoidance of doubt, the Qualified Participant shall only be allowed to claim the Reward within the tier of the Accumulated Gross Brokerage earned as set out above. Any request to swap or substitute the Qualified Participant's Reward to that of another tier shall not be entertained by CIMB.

For example:

- Mr. A achieved an accumulated gross brokerage of RM100,000.00 and is entitled to Agoda Hotel Voucher worth RM5,000.00. Mr. A is not allowed to change the Agoda Hotel Voucher to AEON or Parkson Shopping Voucher or any rewards from other tiers.
- 7. The Reward and its value stated herein are valid at the time of printing. Images of the Reward shown in any marketing and advertisement collateral are for visual purpose only and colours/models/specifications may vary from the actual Reward received. The Qualified Participants shall be responsible for any costs whatsoever incurred in connection with the Reward, including but not limited to transportation from the merchant's office location, all upgrades and alterations, any other third party costs (commission, ownership transfer, tax, etc).

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- 8. Qualified Participants shall be responsible to pay any taxes (including but not limited to goods and services tax ("GST")), incidental cost and/or any other charges relating to any of the Reward unless stated otherwise. CIMB shall not be held liable for any taxes (including but not limited to GST), incidental cost, charges and/or damage caused by any of the Reward and/or non-fulfillment by any of its agents/distributors
- 9. The Reward is not transferable or exchangeable for cash or credit or in kind whether in part or in full.
- 10. The Reward may carry their own terms and conditions and these terms and conditions shall be applicable in addition to the terms and conditions contained within. CIMB reserves the right, without prior notice or assigning any reason whatsoever, to substitute the Reward with other item(s) of the similar value. The Reward under the Campaign does not include any accessories of items shown in any advertisements and/or promotional materials which are for photography purposes only.
- 11. All Qualified Participants of BIG Reward Campaign will be notified by telephone or mail.
- 12. The Qualified Participants hereby expressly consent to their names and/or last 4 digits of their NRICs being posted on CIMB IB's website via <a href="https://www.itradecimb.com.my">www.itradecimb.com.my</a>.
- 13. CIMB will notify all Qualified Participants based on the information supplied by the Qualified Participants and maintained in CIMB's records. Pursuant to the requirements of the Personal Data Protection Act 2010, CIMB is required to obtain the Eligible Participant's consent for the processing of the Eligible Participant's personal data. Therefore, the Eligible Participant hereby consents to CIMB's processing of the Eligible Participant's personal data which the Eligible Participant may provide to CIMB now and from time to time in connection with their applications or participation in the Campaign. The Eligible Participant hereby confirms that he/she has read, understood and agrees to be bound by the CIMB Group Privacy Notice (which is available at <a href="www.itradecimb.com.my">www.itradecimb.com.my</a>) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Eligible Participant agrees that the said Privacy Notice shall be deemed to be incorporated by reference into this Agreement.
- 14. The Qualified Participants agree and authorize CIMB to forward Qualified Participants' details (including but not limited to name, NRIC number, telephone number, address) to the distributor/ agent who would then contact the Qualified Participants regarding the delivery of their Reward. The Qualified Participants shall be responsible to make the necessary arrangements with CIMB and / or its distributor/agent for the delivery and/or collection of their Reward. All taxes (including but not limited to GST), charges and incidental costs in this regards shall be borne by the Qualified Participants.
- 15. The Reward will be delivered from 1<sup>st</sup> March 2018 onwards.
- 16. The Qualified Participants of the BIG Reward Campaign hereby agree that in the event that they are not contactable, CIMB reserves the right to forfeit the Reward.
- 17. Although CIMB will endeavour to deliver the Reward promptly, this is subject to availability and accordingly, CIMB shall not be responsible for any delay nor will be there any compensation payable for any such delays. CIMB further bears no responsibility if the Reward is overdue or cancelled by the agent/distributor.

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# **CIMB** SECURITIES



#### **General**

- 18. By signing the Campaign Participation Form and by participating in the Campaign, all Eligible Participants are deemed to have read, understood and agreed to be bound by these Terms & Conditions and agreed that any decisions of CIMB in relation to every aspect of the Campaign, including the Reward to be given away and the determination of Qualified Participants, is final, binding and conclusive.
- 19. These Terms and Conditions shall prevail over any provisions or representations contained in any other promotional materials advertising this Campaign in the event of any conflict in terms.
- 20. CIMB reserves the right, upon giving adequate notice of twenty one (21) calendar days, to substitute any of the Reward with other item(s) of the similar value. The Reward under the Campaign does not include any accessories of items shown in any advertisements and/or promotional materials which are for photography purposes only.
- 21. To the fullest extent permitted by law, CIMB expressly exclude and disclaim any representations, warranties, or endorsements, express or implied, written or oral, including but not limited to any warranty of quality, merchantability or fitness for a particular purpose in respect of the Reward. Further, no responsibilities are accepted for any additional expenses, omissions, delays, re-routing, or acts of any government or authority.
- 22. CIMB shall not be liable for any loss or damages (including without limitations, loss of income, profits or goodwill, direct or indirect, incidental consequential, exemplary, punitive or special damages or any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CIMB has been advised of the possibility of such damages in advance save and except the same is due to the gross negligent or wilfull default of CIMB.
- 23. CIMB reserves the right to extend, modify, shorten, discontinue, cancel, terminate or suspend the Campaign by giving prior notice of twenty one (21) calendar days. For avoidance of doubt, extension, modification, discontinuation, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Participants to any claim for compensation against CIMB or any losses or damages suffered or incurred by the Eligible Participants as a direct or indirect result of the act of extension, modification, discontinuation, cancellation, termination or suspension.
- 24. CIMB reserves the right, by giving prior notice of twenty one (21) calendar days,to vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "Amendment") any of the terms and conditions herein at any time. The Amendment shall be effected at CIMB's absolute discretion through any one of the following means of communication, namely, by ordinary mail to the Eligible Participants' last known address or via CIMB's website and the Amendment shall be binding on the Eligible Participants as from the date of notification of the Amendment or from such other date as may be specified by CIMB. No compensation in cash or any kind shall be given to the Eligible Participants for any losses or damages suffered or incurred by the Eligible Participants as a direct or an indirect result of such amendment, variation, deletion, addition or alteration of the Terms and Conditions herein unless the same is due to gross negligent or willful default of CIMB.
- 25. These Terms & Conditions, as may be varied or amended from time to time pursuant to Clause 23, shall prevail over any provisions or representations contained in any other promotional materials advertising the Campaign.
- 26. None of the campaigns is nor shall any of them be construed as an offer, recommendation or solicitation to buy or sell any securities. All Eligible Participants are advised to make their own independent evaluation and to consult their professional advisers before undertaking any transaction.

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- 27. All other terms and conditions applicable to general trading under *i\*Trade@CIMB* and/or the respective other account(s)' terms and conditions shall continue to apply. Nothing herein shall affect CIMB IB's general terms and conditions and/or the other respective account(s)' terms and conditions to which the Eligible Participants have agreed to in respect of their trading accounts.
- 28. If there is any inconsistency (ies), conflict(s), ambiguity(ies) or discrepancy(ies) between the Bahasa Malaysia and English version or other language version of these Terms and Conditions herein, the English version of the Terms and Conditions herein shall prevail. Notwithstanding the abovementioned, where request is made by the Eligible Participants and noted and acknowledged by CIMB in its records that the Bahasa Malaysia version of the terms and conditions shall govern the operation of the Campaign, then the Bahasa Malaysia version of the Terms and Conditions shall prevail.
- 29. All applicable local laws and regulations apply to this Campaign.
- 30. For feedback and/or complaints related to the Campaign, Eligible Participants may contact CIMB's Customer Resolution Unit bearing the following address, telephone and facsimile numbers (or bearing such other address, telephone and facsimile numbers which CIMB may change by notification to the Eligible Participants): Customer Resolution Unit, Level 19, Menara Bumiputra-Commerce, 11 Jalan Raja Laut, 50350 Kuala Lumpur Tel: 1 300 880 900/Fax: 603 2691 3248/cru@cimb.com.

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